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The effects of 5-hydroxytryptophan on attention and central serotonin neurochemistry in the rhesus macaque

Hannah Weinberg-Wolf¹, Nicholas A. Fagan¹, George M. Anderson², Marios Tringides¹, Olga Dal Monte¹ and Steve W. C. Chang^{1,2,3,4}

Psychiatric disorders, particularly depression and anxiety, are often associated with impaired serotonergic function. However, serotonergic interventions yield inconsistent effects on behavioral impairments. To better understand serotonin's role in these pathologies, we investigated the role of serotonin in a behavior frequently impaired in depression and anxiety, attention. In this study, we used a quantitative, repeated, within-subject, design to test how L-5-hydroxytryptophan (5-HTP), the immediate serotonin precursor, modulates central serotonergic function and attention in macaques. We observed that intramuscular 5-HTP administration increased cisternal cerebrospinal fluid (CSF) 5-HTP and serotonin. In addition, individuals' baseline looking duration, during saline sessions, predicted the direction and magnitude in which 5-HTP modulated attention. We found that 5-HTP decreased looking duration in animals with high baseline attention, but increased looking duration in low baseline attention animals. Furthermore, individual differences in 5-HTP's effects were also reflected in how engaged individuals were in the task and how they allocated attention to salient facial features—the eyes and mouth—of stimulus animals. However, 5-HTP constricted pupil size in all animals, suggesting that the bi-directional effects of 5-HTP cannot be explained by serotonin-mediated changes in autonomic arousal. Critically, high and low baseline attention animals exhibited different baseline CSF concentrations of 5-HTP and serotonin, an index of extracellular functionally active serotonin. Thus, our results suggest that baseline central serotonergic functioning may underlie and predict variation in serotonin's effects on cognitive operation. Our findings may help inform serotonin's role in psychopathology and help clinicians predict how serotonergic interventions will influence pathologies.

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INTRODUCTION

For decades, researchers have studied the relationship between the serotonergic system and depression and anxiety, neuropsychiatric disorders that are often comorbid [1–5]. Individuals with depression and anxiety typically experience impaired executive function and emotional cognition, symptoms that are generally studied by examining disruptions in attention and the recognition of emotions [6–10]. Previous work has increased central serotonergic functioning, using selective serotonin reuptake inhibitors (SSRIs) or tryptophan loading, to improve how patients attend to, and process, information in their environments [3, 11–14]. Conversely, reducing circulating levels of the serotonin precursor tryptophan in healthy humans via Acute Tryptophan Depletion (ATD) impairs emotion recognition and information processing, mimicking aspects of depression and anxiety symptomatology [11, 15–17].

Serotonergic function has also been linked to competent cognition and mood regulation in human and non-human primates in non-clinical contexts [18, 19]. In vervet monkeys, enhancing serotonergic function with a diet chronically high in tryptophan led to an increase in dominance status, a decrease in aggression, and an increase in affiliative and social bonding behaviors [20]. Conversely, impaired serotonergic functioning, assessed via cisternal cerebrospinal fluid (CSF) concentrations of

the serotonin metabolite 5-hydroxyindoleacetic acid (5-HIAA), is correlated with low dominance rank, poor impulse control, impaired social functioning, extreme aggression, severe wounding, and even mortality in rhesus macaques [21–29]. However, the gross behavioral measures derived from observational data do not provide the resolution needed to determine if serotonin's effects on behavior are driven by changes in how individuals allocate attention to behaviorally-relevant stimuli.

The majority of previous studies examining the relationship between serotonin and attention in humans have used between-subject designs, or, when using within-subject designs, collected only one session of data per condition for each subject [11]. Given that CSF collection requires invasive methods, most studies were unable to examine how, and if, serotonergic manipulations modulate central serotonergic function differently across subjects. Central serotonergic function was examined in the present study by measuring CSF levels of L-5-hydroxytryptophan (5-HTP), serotonin (5-HT), and 5-HIAA across subjects. Perhaps as a consequence, past studies have reported inconsistent and sometimes difficult to interpret effects of serotonin manipulations on attention [11]. Thus, the causal link between serotonin and impaired attention remains elusive, as do the underlying biological mechanisms mediating these effects.

¹Department of Psychology, Yale University, New Haven, CT 06520, USA; ²Child Study Center, Yale University School of Medicine, New Haven, CT 06510, USA; ³Department of Neuroscience, Yale University School of Medicine, New Haven, CT 06510, USA and ⁴Kavli Institute for Neuroscience, Yale University School of Medicine, New Haven, CT 06510, USA

Correspondence: Hannah Weinberg-Wolf (hannah.weinberg-wolf@yale.edu)

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In the current study, we employed a quantitative and controlled within-subject design to clarify the causal and mechanistic relationship between serotonin and attention in rhesus macaques. We tested whether administering intramuscular (i.m.) injections of the serotonin precursor 5-HTP, which human and rodent literature suggests is an effective method to acutely increase central concentrations of serotonin [30–33], would modulate rhesus macaques' attention to social and non-social images using a well-established free-viewing task [34, 35]. In addition, we measured cisternal CSF concentrations of serotonin, along with its precursors (tryptophan, 5-HTP), and its principle metabolite, 5-HIAA, to assess serotonin metabolism and obtain an index of extracellular, functionally-active serotonin in the brain. Specifically, we examined how 20 mg/kg or 40 mg/kg 5-HTP administrations, compared to a saline control, modulated central concentrations of 5-HTP and affected serotonin neurochemistry and related these acute changes to modulations in looking behavior measured stably by collecting eight sessions of data per drug condition, per subject.

MATERIALS AND METHODS

Test subjects

Six adult (five male and one female; aged 5–8 years (5.5 ± 1.22)) rhesus monkeys (*Macaca mulatta*) served as subjects. Subjects weighed between 6.8 and 16.7 kg throughout the duration of the study. Subjects were housed with a single pair ($n = 3$) or in triads ($n = 3$), kept on a 12-h light/dark cycle, had unrestricted access to food 24-h a day, and controlled access to fluid during testing. All procedures were reviewed and approved by the Yale University Institutional Animal Care and Use Committee.

Experimental design

Subjects viewed stimulus images in a testing room, alone, on an LCD computer monitor positioned 36 cm away from the subject and that spanned 40×30 degrees of visual angle with a temporal resolution of 2 ms. Subjects viewed unaltered conspecific face stimuli taken from a large library of static monkey face images described by Gothard et al. [36]. Rhesus macaques rely on a set of highly stereotyped, species-specific social signals to maintain dominance [37]. Open-mouthed threat faces are used to communicate dominance or intent to maintain control over a resource, while the bared-teeth fear grimace is used to communicate fear and submission [38]. Rhesus macaques also use lip-smacks as an affiliative gesture to diffuse aggression [39]. Stimulus monkeys displayed one of these three standard facial expressions, or a neutral expression, with either direct or averted gaze (Fig. 1a). Subjects had neither seen nor interacted with any of the monkeys depicted in the images, so the 51 unique identities whose faces we included were unfamiliar and novel to the subjects.

We divided our total amount of images into four unique sets. Each set consisted of 24 conspecific faces per each of the eight image categories. This resulted in 192 unique faces per set. Each image set also contained equal numbers (96 images per set) of scrambled faces and landscape images so that the total images viewed during any sessions consisted of 50% faces (192 images), 25% scrambled faces (96 images), and 25% landscapes (96 images). The subjects completed two sessions of data collection per day. We collected 1 day of data per set of images for each drug dose. This means that we collected 4 days of data per drug dose, each with a unique set of images. Because we collected two separate sessions of data per day, this resulted in eight sessions total per drug dose per subject. To preclude order effects, we counterbalanced the order in which we selected image sets while ensuring that subjects were never exposed to the same set of images during two sessions in a row. Within a single session of data collection, we also counterbalanced and randomized the order of image presentation to preclude order effects.

Pharmacological methods

5-HTP has several advantages, compared to SSRIs or tryptophan loading, when enhancing serotonergic function in acute studies. 5-HTP is the immediate precursor to serotonin and is administered in smaller doses than tryptophan and for both reasons can be presumed to produce fewer collateral effects on brain catecholamine, trace amine, and kynurenine pathway neurochemistry [31, 33, 40]. 5-HTP has been demonstrated to be active for 1–4 h after i.m. injection, allowing finer temporal control than tryptophan loading [30, 32]. Finally, although SSRIs appear to produce rapid acute increases in central extracellular serotonin [41], it has been suggested that some of their effects on serotonergic function and on behavior require chronic administration [42].

All pharmacological treatments were administered (between 12:30 and 14:30 daily) intramuscularly (i.m.) exactly one hour before testing onset. Administered volume was consistently between 1.0 and 2.0 mL depending on the weight of the subject. 5-HTP (Sigma) was suspended in sterile water and given at 20 mg/kg or 40 mg/kg doses. Each subject received four injections of saline, four injections of 20 mg/kg 5-HTP, and four injections of 40 mg/kg. Because subjects completed two sessions of the task on each day, one that began 1 h after injection and one that began 1 h and 50 min after injection, this resulted in eight sessions of data per drug dose per animal. Drug doses were delivered on strictly alternating days, with no 5-HTP doses being delivered 2 days in a row. Vehicle injections consisted of equal volumes of sterile saline. Doses for 5-HTP were selected on the basis of previous studies in rodents and human subjects [31, 43] showing that 5-HTP doses less than 20 mg/kg do not produce discernable behavioral effects. In addition, previous studies suggest that doses greater than 60 mg/kg can inadvertently increase circulating catecholamines by displacing catecholamines from storage granules, thereby temporarily enhancing postsynaptic catecholaminergic stimulation [41].

CSF sample collection and assays

To determine whether i.m. 5-HTP crossed the blood brain barrier in rhesus macaques, to test if injections increased central levels of 5-HTP and serotonin, and to determine how variation in serotonergic function related to 5-HTP's effects on behavior, we sampled CSF from each subject after receiving an i.m. injection of saline, 20 mg/kg 5-HTP and 40 mg/kg 5-HTP with a minimum of 2 weeks between each collection date. We counterbalanced and randomized subjects order of CSF sampling between saline, 20 mg/kg 5-HTP and 40 mg/kg 5-HTP. Each CSF draw occurred one-hour post injection, the same time after injection that animals began data collection daily. A complete set of CSF draws, one per each of the three drug conditions, was carried out in four out of six subjects. CSF was not collected from subject 2 at 20 mg/kg 5-HTP and from subject 5 at saline and 20 mg/kg due to complications with the procedure for these subjects.

Cisternal CSF was assessed with cervical punctures, which are preferred over lumbar punctures for accurately tracking concentrations of monoamines and monoamine metabolites in cortical and subcortical structures due to its greater proximity to the brain and its clearance from the spinal space [40, 44, 45]. Punctures targeted the cisterna magna through the atlanto-occipital membrane. Approximately 1.5 mL of CSF was drawn using a 24–27-gauge needle. Monkeys were first anesthetized with ketamine (3 mg/kg, i.m.) and dexdomitor (0.075 mg/kg, i.m.). To reverse anesthesia, we administered atisendan (0.075 mg/kg, i.m.) once the animal was returned to its cage after the draw. CSF was immediately labeled and frozen on dry ice before being transferred to a -70 degree Celsius freezer.

Supplementary Materials and Methods contain the details of the data analyses and other details on the experimental protocols.

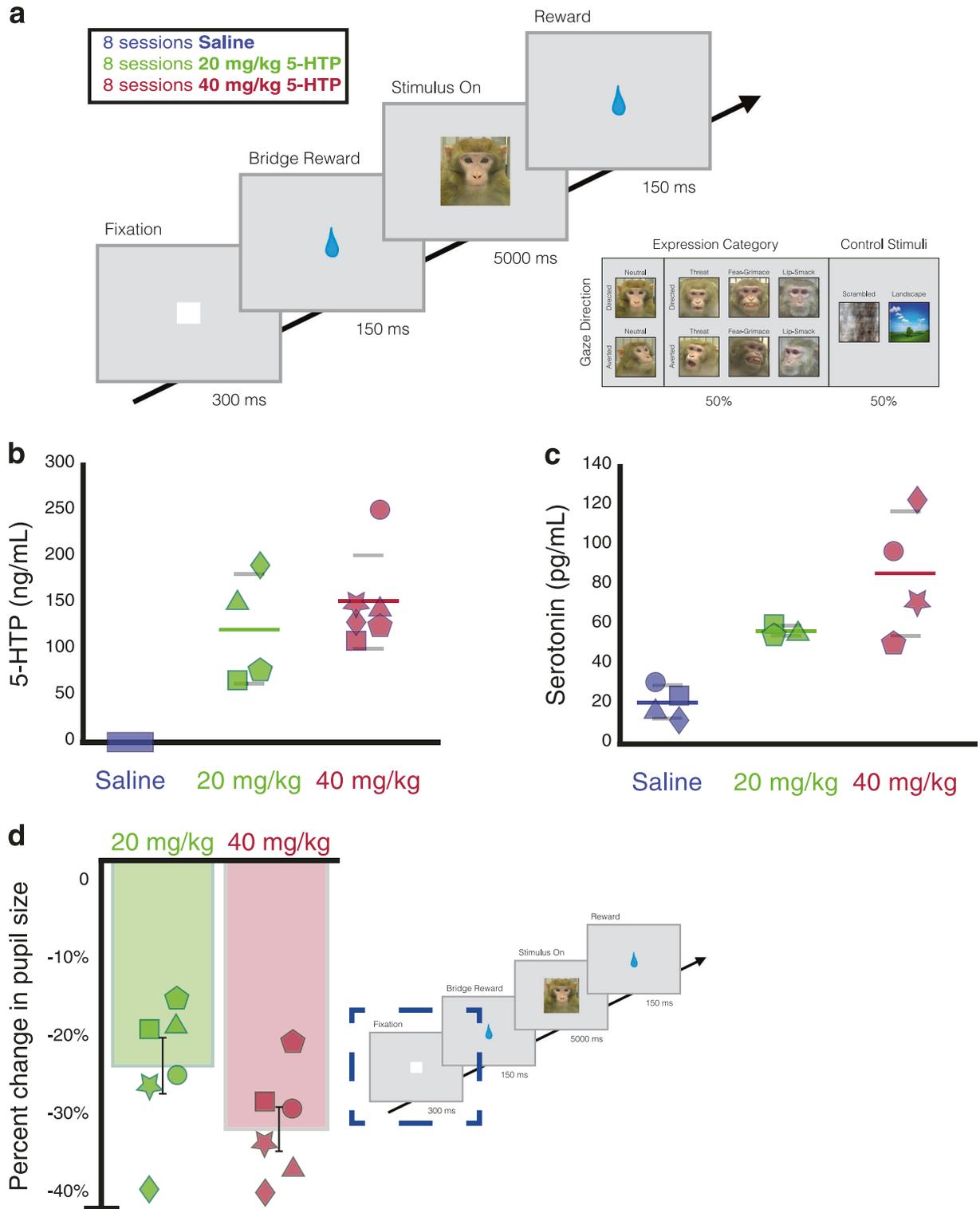


Fig. 1 Behavioral task, CSF concentrations of 5-HTP and serotonin, and effect of 5-HTP on autonomic arousal. **a** Behavioral task and stimuli. Example social and non-social control images are seen on the right. **b** CSF concentration of 5-HTP. The central concentration of 5-HTP after i.m. injection of saline (blue), 20 mg/kg 5-HTP (green), or 40 mg/kg 5-HTP (red). **c** CSF concentration of serotonin. The central concentration of serotonin after i.m. injection of saline (blue), 20 mg/kg 5-HTP (green), or 40 mg/kg 5-HTP (red). **d** 5-HTP constricts the pupil. The percent change from saline in the size of pupil during the fixation period of trials during 20 mg/kg 5-HTP (green) and 40 mg/kg 5-HTP (red) sessions. In **b–c**, the average CSF concentration per dose is represented by a colored line, and grey lines represent the standard error. In **b–d**, Each shape represents an individual subject's data

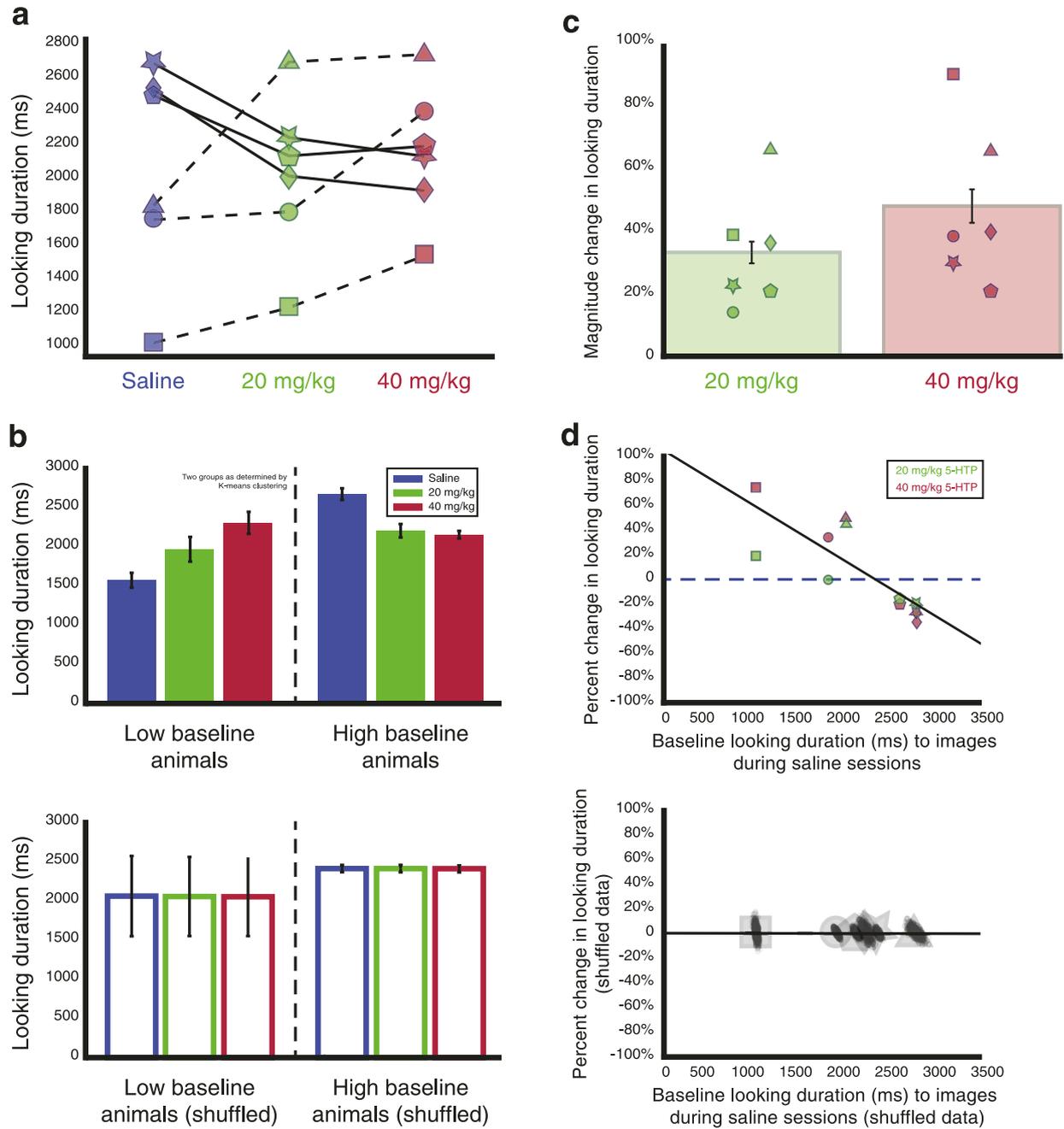


Fig. 2 The direction and magnitude of 5-HTP's effects on attention are rooted in baseline behavior. **a** 5-HTP causes a bi-directional change in looking duration. 20 mg/kg (green) and 40 mg/kg (red) 5-HTP increases looking duration in animals with low baseline looking (dashed line) during saline sessions and decreases looking duration in high baseline looking animals (solid line). **b** (Top) Average looking duration for low and high baseline animals (grouped solid and dash lines from **a**). (Bottom) Average looking duration for low and high baseline animals after shuffling the drug labels within each animal. **c** 5-HTP significantly increases the magnitude change, the absolute value of the percent change, in looking duration relative to saline. **d** (Top) Baseline looking duration to images negatively correlates with percent change in looking duration due to 5-HTP (green, 20 mg/kg; red, 40 mg/kg). (Bottom) The average correlation from the shuffled data. In **a**, **c**, **d**, each shape represents an individual subject's data

RESULTS

We examined the effect of 5-HTP administrations on rhesus macaques' ($n = 6$) natural viewing behavior to social (conspecific faces) and non-social (outdoor scenes and luminance matched scrambled faces) images while their eye positions were tracked with high spatial and temporal acuity (Fig. 1a). On separate days, we collected CSF samples 1 h after acute delivery of saline, 20 mg/kg, and 40 mg/kg 5-HTP to examine how 5-HTP influences central

serotonergic function and to provide insight into the mechanism by which 5-HTP modulates attention.

Exogenous 5-HTP increases CSF 5-HTP and serotonin concentrations and modulates autonomic arousal
We first tested if i.m. 5-HTP administrations increased central concentrations of 5-HTP and serotonin. CSF 5-HTP concentrations were higher after receiving 20 mg/kg ($P = 0.03$, Tukey) and 40 mg/kg

kg 5-HTP ($P < 0.01$), compared to saline (Fig. 1b, $F(2, 3) = 11.73$, $P < 0.01$, ANOVA). 5-HTP administration also increased central serotonin, albeit more weakly (Fig. 1c, $F(2, 3) = 9.46$, $P = 0.05$). Posthoc tests indicate that this increase was driven by 40 mg/kg 5-HTP (20 mg/kg vs. saline: $P = 0.13$; 40 mg/kg vs. saline: $P = 0.05$). In addition, central concentrations of 5-HTP and serotonin are highly correlated with each other, indicating that increases in serotonin are proportional to the levels and dose of 5-HTP ($r = 0.78$, $P < 0.01$, Pearson's correlation). We found no significant change in CSF concentrations of 5-HIAA due to exogenous 5-HTP ($F(2, 3) = 1.29$, $P = 0.33$). This is to be expected because the changes in CSF serotonin we observed were much smaller than the absolute levels of CSF 5-HIAA present biologically. Thus, the changes in 5-HIAA production due to 5-HTP administration would be diluted in the context of the much larger pool of CSF 5-HIAA. In addition, central concentrations of 5-HIAA were neither correlated with CSF 5-HTP ($r = 0.50$, $P = 0.12$) nor CSF serotonin ($r = 0.24$, $P = 0.48$). As expected, 5-HTP did not increase CSF concentrations of tryptophan ($F(2, 3) = 1.72$, $P = 0.25$), norepinephrine ($F(2, 3) = 0.37$, $P = 0.72$), the dopamine precursor tyrosine ($F(2, 3) = 1.24$, $P = 0.35$), or the dopamine metabolite homovanillic acid (HVA) ($F(2, 3) = 1.20$, $P = 0.36$). To see full pair-wise of CSF concentrations of monoamines, their precursors, and metabolites, see Supplementary Tables ST1, ST2, and ST3.

To assess physiological arousal, we quantified the size of the pupil during the 300 ms fixation period where only the luminance controlled white fixation square appeared on the screen. We found that 5-HTP did impact the size of the pupil (Fig. 1d, $F(2, 3) = 46.35$, $P < 0.001$, ANOVA). Subjects had a significantly more constricted pupil during 20 mg/kg ($P < 0.001$, Tukey) and 40 mg/kg 5-HTP sessions ($P < 0.001$) than saline sessions, indicating a consistent physiological effect of 5-HTP. Our CSF and pupil results indicate that i.m. 5-HTP administrations effectively increased central concentrations of 5-HTP and serotonin and impacted the parasympathetic system.

Baseline behavior underlies the direction and magnitude of 5-HTP's effects on attention

We used looking duration as a proxy measure to investigate how 5-HTP modulates attention to images (Fig. 1a). We first examined looking duration, for all animals, to all images, during saline, 20 mg/kg, and 40 mg/kg sessions. When all animals were analyzed together, drug dose did not impact raw looking duration (Fig. 2a, $F(2, 287) = 1.12$, $P = 0.33$). However, 5-HTP significantly increased looking duration in three subjects, those that exhibited low baseline attention during the eight saline sessions (average baseline looking of 1572.94 ± 460.85 ms), but significantly decreased looking duration in the other three subjects, those that exhibited high baseline attention during the eight saline sessions (average baseline looking of 2672.72 ± 362.16 ms, Fig. 2b). To account for this bi-directional effect, we calculated the absolute value of the percent change in looking duration from saline and found that 5-HTP significantly modulated looking duration across animals (Fig. 2c, $F(2, 287) = 23.03$, $P < 0.01$). We next investigated whether this diversity in 5-HTP's effect on attention is related to differences in baseline attention by quantifying each subjects' percent change from saline in looking duration due to eight sessions each of 20 mg/kg and 40 mg/kg 5-HTP. We found that baseline looking duration was negatively correlated with 5-HTP-induced changes in looking duration (Fig. 2d, $r = -0.81$, $P < 0.01$). To ensure that the observed effect was indeed due to 5-HTP differentially modulating the looking behavior across individual animals and not due to a regression to the mean phenomenon, we randomly shuffled the labels associated with each session for each animal (saline, 20 mg/kg, or 40 mg/kg 5-HTP) and re-ran the above analyses 1000 times to create a null distribution for what could be expected if 5-HTP was not truly impacting looking duration but instead was due to a regression to

the mean. In these analyses, all the percent changes in looking duration were far outside the range of the observed data ($P = 0.02$, t -test), and the shuffled data exhibited no correlation (Fig. 2b, c lower panels, $r = -0.14$, $P = 0.66$, Pearson's). Thus, acute 5-HTP increased looking duration in animals with low baseline attention but decreased looking duration in animals with a high baseline attention.

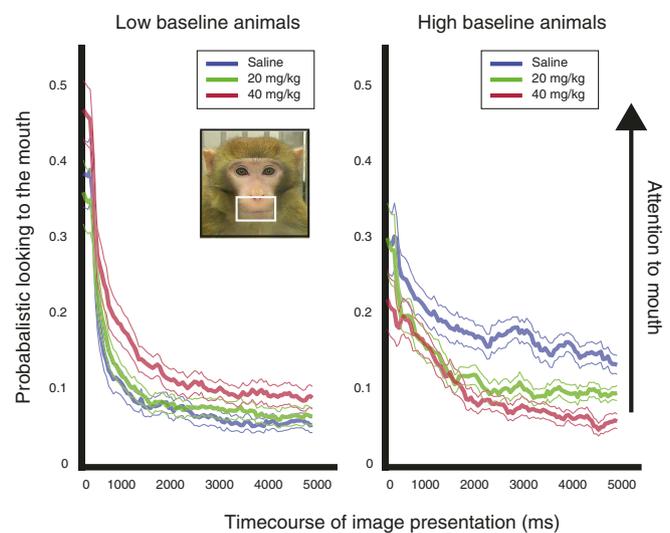
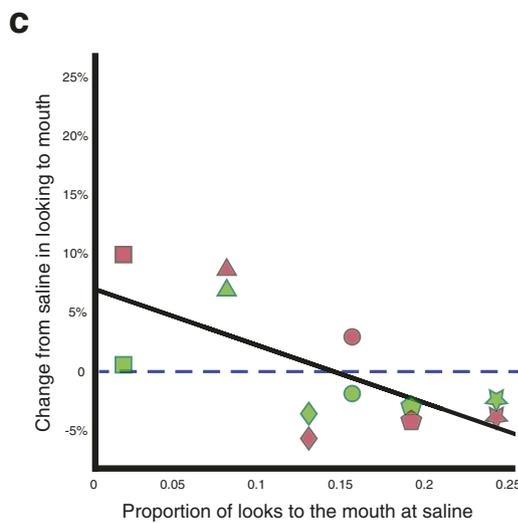
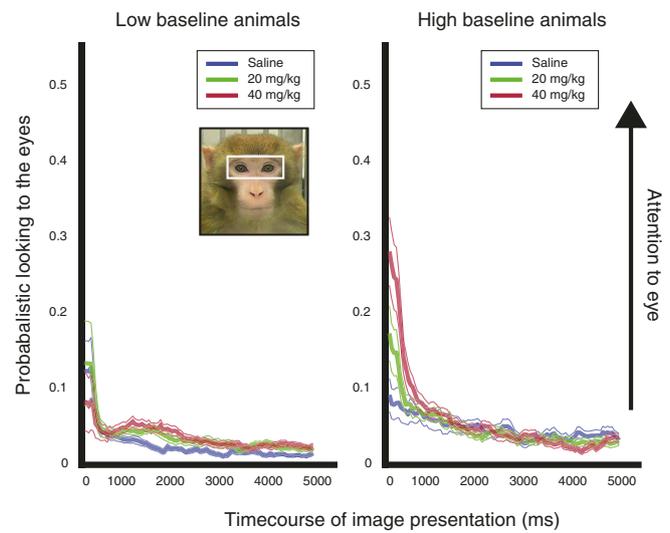
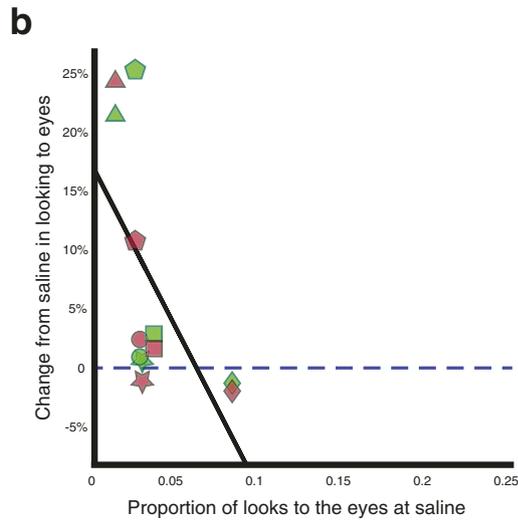
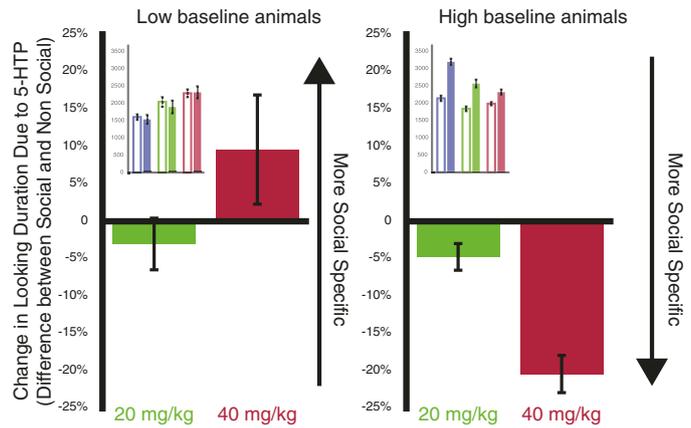
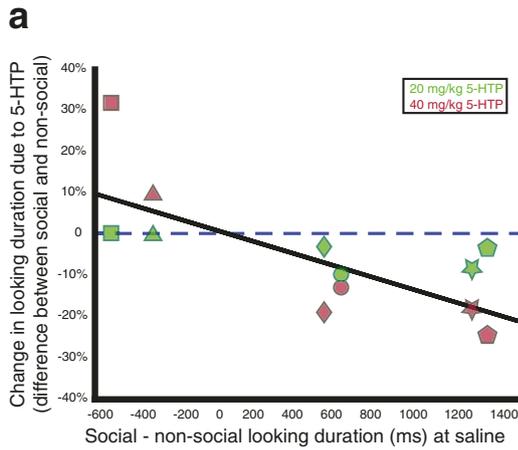
Animals exhibit different levels of task engagement, but not motivation to acquire juice

We next characterized whether all animals were equally engaged in the task to determine if differences in attention to images were related to differences in task motivation, both to acquire juice and also to view images. We assessed motivation to acquire juice by quantifying the number of trials completed per session for high and low baseline attention animals. Neither baseline attention ($F(1, 138) = 2.55$, $P = 0.11$, ANOVA) nor drug dose ($F(2, 143) = 0.91$, $P = 0.41$) significantly affected the number of trials completed per session, indicating that animals completed the same number of trials, thereby earning the same amount of juice, regardless of baseline attention or drug dosage.

We then assessed anticipatory looking between trials and used this measure as a proxy for task engagement, that is an animal's motivation to initiate another trial quickly and view more images [46]. We quantified anticipatory looking by calculating the percentage of trials during which subjects looked at the region near the fixation during the inter-trial interval when the screen was blank prior to the start of a new trial (See Supplementary Materials and Methods). The magnitude change in anticipatory looking was impacted by drug dose (saline, 20 mg/kg, and 40 mg/kg 5-HTP) ($F(1, 131) = 8.93$, $P < 0.01$, ANOVA). Notably, baseline anticipatory looking (during saline sessions) was negatively correlated with 5-HTP-induced changes in anticipatory looking (Fig. S1A, $r = -0.72$, $P < 0.01$). Low baseline attention animals exhibited lower task engagement than high baseline attention animals during saline sessions; 5-HTP increased engagement in low baseline animals, and decreased engagement in high baseline animals (Fig. S1B).

Social specificity of 5-HTP's effect is related to differences in baseline attention to social and non-social images

Social stimuli are inherently more salient than non-social stimuli. Animals overall looked at social images longer than non-social images ($F(1, 287) = 15.31$, $P < 0.001$, ANOVA, Fig. S2), and 5-HTP overall modulated looking to social images more than non-social images (Fig. 3a, $F(1, 287) = 13.51$, $P < 0.001$, see Fig. S3 for raw data for each subject). When we examined if variation in 5-HTP's social specificity was predicted by baseline behavior, the difference between looking duration to social and non-social images at saline was negatively correlated with the difference in 5-HTP-induced changes in looking duration to social and non-social images (Fig. 3a, $r = -0.72$, $P < 0.01$, Pearson's). Thus, for individuals who looked longer at social than non-social images at baseline, 5-HTP decreased looking to social more than to non-social images. By contrast, for individuals who looked longer at non-social images at baseline, 5-HTP instead increased looking to social more than to non-social images. We next investigated whether the same animals that spent less time looking at social stimuli at baseline were also the animals that exhibited baseline lower attention to all images. We found that indeed looking duration to all images were positively correlated with the average difference between looking duration to social and non-social images during saline sessions ($r = 0.84$, $P = 0.04$) indicating that animals who looked at all images for a longer period during saline sessions also exhibited a longer relative looking duration to social images during saline sessions. This provides further support that baseline differences in attention predict the manner in which 5-HTP modulates attention.



5-HTP bi-directionally modulates attention to the eyes and mouth. We next focused on the mouth and eye regions of the face to test if 5-HTP modulates attention to salient face regions differently depending on gaze directions (directed vs. averted) and facial expressions (threat vs. fear grimace vs. lip smack vs. neutral). Raw looking duration and percent change in looking duration due to 5-

HTP for the face region of each social category are shown in the Supplementary Materials Results and Figs. S4 and S5. We calculated the percentage of trials that subjects looked within the eye or mouth regions to obtain the magnitude change, absolute value of the percent change, in probabilistic looking to the eyes and mouth due to 5-HTP. This allowed us to examine differences

Fig. 3 5-HTP differentially modulates attention to facial features. **a** The difference between looking duration to social and non-social images is negatively correlated with the difference in percent change from saline, due to 20 mg/kg (green) or 40 mg/kg (red), in looking duration to social and non-social images. (Right) The average difference in percent change in looking duration to social images and percent change in looking duration to non-social images for low and high baseline animals. The inset shows the raw looking duration to social (filled bars) and non-social images (open bars) for low and high baseline animals. **b** The percent change from saline due to 5-HTP in the probability of looking at the eye region during image presentation is negatively correlated with baseline probabilistic looking to the eye. (Right) Average time plots for low and high baseline animals for 5-HTP's effect on attention to the eyes. **c** The percent change from saline due to 5-HTP in the probability of looking at the mouth region is negatively correlated with baseline probabilistic looking to the mouth. 5-HTP increases attention towards the mouth in low baseline animals but decreases attention towards the mouth in high baseline animals. (Right) Average time plots for low and high baseline animals for the bi-directional effect on attention to the mouth. In **a-c**, each shape represents an individual subject's data

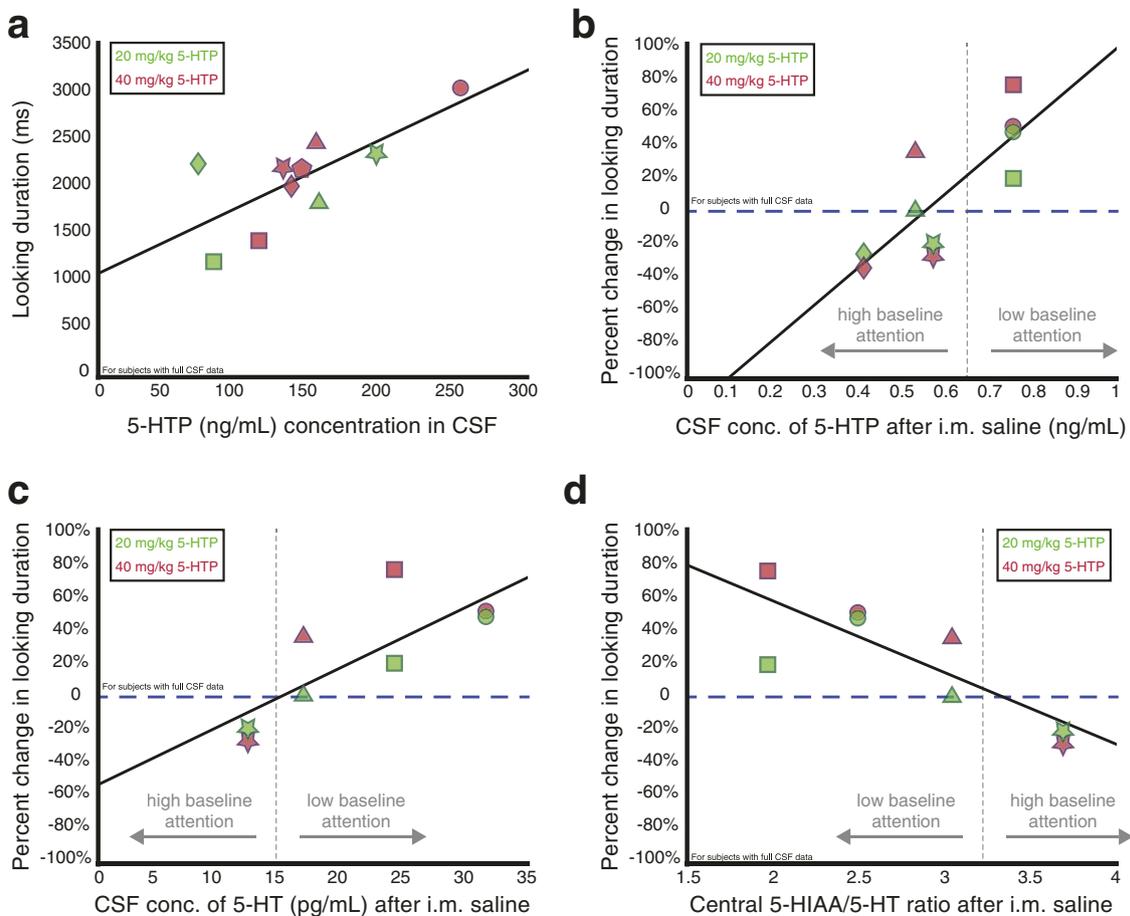


Fig. 4 Serotonergic function predicts how 5-HTP modulates looking behavior. **a** Central concentrations of 5-HTP after receiving 20 mg/kg (green) and 40 mg/kg (red) 5-HTP injections are positively correlated with the average looking duration to social images during the 5-HTP sessions associated with each drug dose. **b** Central levels of 5-HTP at saline correlate with percent change in looking duration from saline due to 5-HTP. **c** Baseline central levels of serotonin (5-HT) correlate with the percent change in looking duration from saline due to 5-HTP. **d** Baseline central 5-HIAA/serotonin (5-HT) ratios correlate with the percent change in looking duration from saline due to 5-HTP. In **a-d**, each shape represents an individual subject's data

in 5-HTP's effect on probabilistic looking due to stimulus monkey gaze direction and facial expression.

Eyes. The magnitude change in probabilistic looking to the eyes was impacted by drug dose (Fig. 3b, $F(2, 1024) = 31.37, P < 0.001$, ANOVA, with a stronger effect of 40 mg/kg compared to 20 mg/kg 5-HTP, $P < 0.001$, Tukey), gaze direction (directed vs. averted) ($F(1, 1024) = 7.28, P < 0.01$, with a larger effect for faces with direct gaze), as well as facial expression (threat vs. fear grimace vs. lip smack vs. neutral) ($F(3, 1024) = 18.03, P < 0.001$, larger for expressive than for neutral expressions, all $P < 0.001$). These results indicate that 5-HTP differentially influences attention to the eyes based on the gaze direction and the saliency of the facial

expression of the stimulus monkeys. We next asked whether 5-HTP's effects on attention to the eyes are related to differences in baseline attention to the eyes. Baseline looking to the eye region was negatively correlated with 5-HTP-induced changes in looking to the eye region (Fig. 3b, $r = -0.60, P = 0.04$, Pearson's). 5-HTP thus increased attention to the eyes in animals with low baseline attention but decreased looking duration in animals with high baseline attention.

Mouth. The magnitude change from saline in probabilistic looking to the mouth was also affected by drug dose (Fig. 3c, $F(2, 1054) = 30.53, P < 0.001$, with a stronger effect of 40 mg/kg compared to 20 mg/kg 5-HTP, $P < 0.001$) and facial expression

(threat vs. fear grimace vs. lip smack vs. neutral) ($F(3, 1054) = 2.95$, $P = 0.03$, with only fear grimaces as larger than neutral expressions after correcting for multiple comparisons, $P = 0.02$), but not gaze direction (directed vs. averted) ($F(1, 1054) = 1.63$, $P = 0.2$). These results indicate that 5-HTP differentially influenced attention to the mouth based on whether the facial expression relied on salient mouth features to communicate social signals. We again asked whether 5-HTP's effects on attention to the mouth are related to differences in baseline attention, and found that baseline looking to the mouth was negatively correlated with 5-HTP-induced changes in looking (Fig. 3c, $r = -0.68$, $P = 0.02$). 5-HTP thus increased attention to the mouth in animals with low baseline attention but decreased looking duration in animals with high baseline attention.

Taken together, these results indicate that 5-HTP increases attention to informative regions of the face for animals with low baseline attention to these regions, but decreases attention to the eyes and mouth for animals with high baseline attention. Overall 5-HTP thus modulates attention to salient facial features that convey important social information and the direction and magnitude of 5-HTP's effects can be predicted by baseline differences in how individuals allocate attention to the eyes and mouth.

Baseline central serotonergic function predicts the direction and magnitude of 5-HTP's effects on attention

To examine the relationship between central serotonergic processing and looking behavior, we first tested if the amount of 5-HTP that crossed the blood-brain barrier would predict differences in attention during 5-HTP sessions. Central concentrations of 5-HTP after receiving 20 mg/kg and 40 mg/kg injections of 5-HTP were positively correlated with individuals' average looking durations during the sessions associated with each drug dose (Fig. 4a, $r = 0.73$, $P = 0.016$, Pearson's correlation), suggesting that the amount by which 5-HTP injections modulate central concentrations of 5-HTP did in fact influence how long individuals looked at images.

We next determined if central concentrations of serotonergic compounds were related to 5-HTP's observed bi-directional effects on attention by comparing 5-HTP-induced changes in attention to baseline CSF concentrations of 5-HTP and serotonin. Interestingly, baseline 5-HTP concentrations were positively correlated with the percent change in looking duration due to 5-HTP (Fig. 4b, $r = 0.81$, $P < 0.01$), suggesting that baseline levels of 5-HTP predict the manner in which attention is modulated by 5-HTP. Concentrations of baseline serotonin were also positively correlated with the percent change from saline in looking duration due to 5-HTP (Fig. 4c, $r = 0.78$, $P = 0.02$), providing more evidence that concentrations of central serotonergic compounds, even prior to 5-HTP manipulations, influence, in part, how 5-HTP will influence attention. In fact, baseline tryptophan and HVA concentrations were also correlated with the percent change in looking duration due to 5-HTP (tryptophan: $r = 0.77$, $P = 0.01$; HVA: $r = 0.80$, $P < 0.01$), while baseline 5-HIAA concentrations trended to be correlated with the percent change in looking duration ($r = 0.62$, $P = 0.05$). However, baseline concentrations of neither norepinephrine nor tyrosine were correlated with the percent change in looking duration due to 5-HTP (norepinephrine: $r = 0.56$, $P = 0.15$; tyrosine: $r = 0.50$, $P = 0.14$). These results further suggest that baseline concentrations of serotonergic related compounds are related to 5-HTP's effects on attention and that dopaminergic activity could also play a role.

Despite this converging evidence, we were puzzled that animals with low baseline attention exhibit higher baseline concentrations of 5-HTP and serotonin compared to high baseline attention animals. We conjectured that this discrepancy might be due to differences in the rate of serotonin turnover. While our experiment did not allow us to provide direct evidence, we analyzed a metric

that has been used to estimate turnover in tissue samples, the 5-HIAA/serotonin ratio. CSF 5-HIAA/serotonin ratios at baseline were inversely correlated with the percent change in looking duration (Fig. 4d, $r = -0.82$, $P = 0.03$), indicating that animals with lower baseline attention might exhibit lower serotonergic turnover compared to animals with higher baseline attention. In addition, the central ratio of 5-HIAA to serotonin also correlated with average difference between looking duration to social and non-social images during saline sessions ($r = 0.98$, $P = 0.02$) indicating that a higher baseline 5-HIAA/5-HT ratio predicts a larger preference for social images over non-social images at baseline.

DISCUSSION

Most antidepressants inhibit the serotonin transporter, thereby increasing extracellular functionally active levels of serotonin. This observation has been used to suggest that the serotonin system plays a role in symptomatology of depression and anxiety. However, studies that have examined the causal role of serotonin in attention and emotion recognition have produced largely inconsistent results. Here, we examined how increasing central serotonin via acute administration of the precursor 5-HTP, either 20 mg/kg or 40 mg/kg, would modulate attention using a repeated, within-subject, design. Working with an animal model allowed us to examine differences in central serotonergic functioning and turnover and confirm, for the first time in non-human primates, that acute 5-HTP administration, especially the higher 40 mg/kg dose, crosses the blood-brain barrier and increases central concentrations of 5-HTP and serotonin.

While 5-HTP modulated looking duration in all subjects, 5-HTP increased looking duration in half our subjects ($n = 3$), but decreased looking duration in the other subjects ($n = 3$). Critically, baseline differences in attention predicted the direction and magnitude by which 5-HTP modulated looking duration. 5-HTP increased attention in subjects with low baseline attention yet decreased it in those with high baseline attention, and these effects were not driven by a regression to the mean (low panels of Fig. 2b, d). While most previous studies have reported a consistent modulation of emotion recognition and biased attention to expressive faces due to serotonin manipulations [11], three previous investigations reported effects of serotonin manipulation on emotional recognition and emotional biases that differed according to baseline behavior [47–49]. Bhagwagar et al. [49]. reported that a single dose of the SSRI citalopram increased the recognition of fearful faces in healthy volunteers, but decreased fear recognition in subjects with a previous history of depression [49]. Hayward et al. [47]. showed that low-dose acute tryptophan depletion (ATD) caused a decrease in the recognition of happy faces in healthy volunteers, but increased happy recognition in recovered depressed patients [47]. Finally, Robinson et al. (2010) found that baseline mood state influenced the direction in which ATD impacted cognitive biases [48].

Although 5-HTP modulated looking duration to both social and nonsocial images, we observed a greater effect of 5-HTP on attention to social images. Intriguingly, this social specificity was again linked to baseline differences in attention to social and non-social images, providing additional evidence that 5-HTP's effects on attention can be predicted by differences in baseline behavior. Previous literature indicates that SSRIs increase recognition of, and attention to, positive and negative facial expressions, but the field remains uncertain whether SSRIs act via a general mechanism or through separate negative and positive bias mechanisms in tandem [11, 50, 51]. In addition, most emotional processing studies in humans do not include non-social controls [11]. Based on our results, it is likely that serotonin generally modulates attention, and that the specificity of its effects in the social domain likely depends on baseline differences in how individuals attend to these stimuli.

5-HTP particularly increased attention to salient facial features that convey important social information, the eyes and mouth, in animals with low baseline attention, but decreased attention to these same regions in animals with high baseline attention. Reducing serotonergic function via ATD in humans decreases sensitivity towards emotional faces when they display directed gaze towards participants, but not when they exhibit averted gaze [52]. 5-HTP may modulate attention to facial regions as a function of how salient they are and also how useful they are to decode signal content. Perhaps this is the mechanism by which serotonin acts when modulating competent behavior, particularly impulsive aggression and dominance status, as reported in previous work [20, 53–58].

Differences in serotonergic function may underlie 5-HTP's diverse effects across individuals. While differences in central concentrations of 5-HTP and serotonin at baseline positively predicted the direction in which, and magnitude by which, 5-HTP modulated looking duration, CSF analyses confirmed that 5-HTP administration increased central concentrations of 5-HTP and serotonin, and caused pupil constriction in all animals, indicating a consistent physiological effect of 5-HTP on the central nervous system and a dissociation of the parasympathetic nervous system from the serotonin-mediated control of attention allocation. However, we did not expect that low baseline attention animals would exhibit higher baseline concentrations of 5-HTP and serotonin than high baseline attention animals. It could be that animals' serotonergic systems differ not only according to active concentrations of 5-HTP and serotonin, but also in terms of the efficiency of the serotonergic system. Although we did not directly test the serotonergic turnover rate at the biochemical level in tissue samples, we investigated the relationship between percent change in looking duration and ratio of 5-HIAA to serotonin in CSF. Generally, based on prior studies in tissue samples, higher central 5-HIAA to serotonin ratios indicate an increased turnover of serotonin as it is converted to 5-HIAA within the brain [5]. While this ratio is only an estimate of this rate, we found that the 5-HIAA to serotonin ratio predicted both looking duration at baseline and also the percent change in looking duration due to 5-HTP. Overall, animals with low baseline attention exhibited lower baseline 5-HIAA to serotonin ratios, attended to images less at baseline and exhibited more positive changes in looking duration due to 5-HTP than their high baseline attention counterparts.

Our findings provide unique causal and mechanistic evidence suggesting that enhancing central serotonergic function results in categorically distinct changes in fundamental cognitive operations such as attention. Future work replicating these findings with more animals could further clarify individual differences in attention and central serotonergic concentrations. Amongst our sample of six monkeys, there were no consistent differences in age, dominance status, or health of any animals. Detecting any such potential relationships would require a larger sample size. In addition, continued efforts towards better understanding the relationship between behavioral and biochemical phenotypes and the behavioral effects of serotonergic manipulations could contribute to improved prediction of clinical outcome of serotonergic treatment in numerous psychiatric conditions.

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Compliance with ethical standards

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Supplementary Materials

The Effects of 5-Hydroxytryptophan on Attention and Central Serotonin Neurochemistry in the Rhesus Macaque

Hannah Weinberg-Wolf¹, Nicholas A. Fagan¹, George M. Anderson², Marios Tringides¹, Olga Dal Monte¹ and Steve W. C. Chang^{1,2,3,4}

1: Department of Psychology, Yale University, New Haven, CT 06520

2: Child Study Center, Yale University School of Medicine, New Haven, CT 06510

3: Department of Neuroscience, Yale University School of Medicine, New Haven, CT 06510

4: Kavli Institute for Neuroscience, Yale University School of Medicine, New Haven, CT 06510

Included are:

Supplementary Results

Supplementary Discussion

Supplementary Materials and Methods

Supplementary Figure

Supplementary References

1 **Supplementary Results**

2 **Exogenous 5-HTP does not increase CSF concentrations of 5-HIAA,** 3 **homovanillic acid (HVA), tryptophan, tyrosine, or norepinephrine.**

4 We first tested if 5-HTP administrations increased central concentrations of
5 5-HIAA, HVA, tryptophan, tyrosine, and norepinephrine. Subjects' CSF was sampled
6 at one hour after an injection of saline, 20mg/kg 5-HTP and 40mg/kg 5-HTP. Drug
7 injections did not affect central 5-HIAA concentrations ($F(2,3)=1.29$, $P=0.33$,
8 ANOVA), HVA concentrations ($F(2,3)=1.20$, $P=0.36$, ANOVA), tryptophan
9 ($F(2,3)=1.72$, $P=0.25$, ANOVA), tyrosine ($F(2,3)=1.24$, $P=0.35$, ANOVA), or
10 norepinephrine ($F(2,3)=0.37$, $P=0.72$, ANOVA).

11

12 **Central concentrations of 5-HIAA, homovanillic acid (HVA), tryptophan,** 13 **tyrosine, or norepinephrine do not predict 5-HTP's effects on attention.**

14 To determine if central concentrations of serotonergic compounds were
15 related to 5-HTP's bi-directional effects on attention, we compared behavioral
16 changes to saline CSF concentrations of 5-HIAA, tryptophan, tyrosine, and
17 norepinephrine. We found that baseline tryptophan and HVA concentrations were
18 correlated with the percent change in looking duration due to 5-HTP (tryptophan:
19 $r= 0.77$, $P=0.01$; HVA: $r= 0.80$, $P<0.01$), while baseline 5-HIAA concentrations
20 trended to be correlated with the percent change in looking duration ($r= 0.62$,
21 $P=0.05$). However, baseline concentrations of neither norepinephrine nor tyrosine
22 were correlated with the percent change in looking duration due to 5-HTP
23 (norepinephrine: $r= 0.56$, $P=0.15$; tyrosine: $r= 0.50$, $P=0.14$). This suggests that

24 baseline concentrations of serotonergic related compounds might be related to 5-
25 HTPs effects on attention and that dopaminergic activity could play a role as well.

26

27 **Effects of 5-HTP on Facial Expression and Gaze Direction**

28 Macaques rely on stereotyped facial expressions to communicate. In our task,
29 macaques viewed faces of conspecifics exhibiting neutral expressions, open-mouth
30 threat expressions, fear-grimace submissive expressions, and affiliative lip-smack
31 expressions with either directed or averted gaze (de Waal and Luttrell, 1985;
32 Maestriperieri, 1997; Maestriperieri and Wallen, 1997; Partan, 2002). Given that rhesus
33 macaques rely on facial expression and gaze direction to evaluate social
34 information, we investigated whether 5-HTP manipulated looking duration to social
35 images differently depending on the expressions (threat vs. fear grimace vs. lip
36 smack vs. neutral) and gaze direction (directed vs. averted) conveyed by stimulus
37 monkeys. We found that while drug dose (saline, 20mg/kg 5-HTP, and 40mg/kg 5-
38 HTP) impacted the magnitude change in looking duration to social images ($F(2,$
39 $1150)=78.14, P<0.001$, Figs. S2, S3), neither facial expression ($F(3, 1150)=0.81,$
40 $P=0.50$, Figs. S2, S3) nor gaze direction ($F(1, 1504)=1.00 P=0.32$, Figs. S2, S3)
41 impacted the magnitude change in looking duration to social images due to 5-HTP.

42

43 **Genetic Variation Results**

44 Extensive work has indicated that variation in three genes related to the
45 production and transportation of serotonin is associated with decreased
46 serotonergic function. Furthermore, these minor alleles have been extensively

47 implicated in impaired social attention (Beevers et al, 2010; Beevers et al, 2011;
48 Pérez-Edgar et al, 2010), increased impulsive aggression (Dobson and Brent, 2013),
49 social anxiety (Hariri and Holmes, 2006), as well as a variety of impaired social
50 abilities (Canli and Lesch, 2007). All six subjects were genotyped for these three
51 alleles: the polymorphic region of the serotonin transporter (5-HTTLPR),
52 tryptophan hydroxylase 2 (TPH-2), and monoamine oxidase A (MAO-A) (Watson et
53 al, 2009). All subjects were dominant homozygote for the rh5-HTT. For the rh-
54 MAOA, four subjects displayed the six repeat allele, one subject displayed the five
55 repeat allele and one subject displayed the seven repeat allele. Matching our
56 hypothesis, the individual with the rh7 fell into the low baseline looking group and
57 the individual with the rh5 fell into the high baseline looking group. Mechanistically
58 this is logical as lower MAOA expression corresponds to less serotonin degradation.
59 As a result, input of serotonin into the system could result in longer effects of
60 serotonin. Five subjects displayed dominant homozygote alleles for the rh-TPH2
61 polymorphism. However, one subject was heterozygous long/short. Again matching
62 our expected hypothesis, the presence of a long allele mapped onto an individual
63 that displayed low initial looking behavior. Long alleles are linked to lower social
64 interest so it is again logical to see the individual expressing the recessive allele
65 displaying a reduced attention prior to addition to serotonin. While, these results
66 cannot be interpreted statistically due to the small sample size, it is interesting that
67 those who exhibited genetic variants had the expected behavior associated with
68 those variants.
69

70 **Supplementary Discussion**

71 **Effects of 5-HTP on Salient Facial Features**

72 The looking behavior effects of 5-HTP were larger for images with
73 conspecific faces conveying directed gaze and non-neutral expressions. In rhesus
74 macaques, threat faces signal dominance, fear-grimaces signal context dependent
75 subordination, and lip-smacks are used to affiliate and diffuse conflict (de Waal *et al*,
76 1985; Maestriperi, 1997; Maestriperi *et al*, 1997; Partan, 2002). The mouth is
77 generally considered the most salient facial feature, especially when images are
78 presented statically, that macaques use to differentiate these expressions (Partan,
79 2002; Waller and Micheletta, 2013). In addition, previous work has shown that
80 macaques saccade to the eye region of directed faces earlier and for longer than
81 averted faces (Leonard *et al*, 2012), exhibit gaze following behavior from infancy,
82 and have evolved circuitry to follow the social and non-social gaze of conspecifics
83 (Emery, 2000). Cells within the macaque amygdala, orbitofrontal cortex, and
84 superior temporal sulcus are specifically tuned to follow the gaze of conspecifics
85 (Allison *et al*, 2000) and play a role in shifting gaze to conspecifics (Chang *et al*,
86 2015).

87

88 **Consideration for Future Work**

89 Future work should take steps to investigate how differences in receptor
90 density and receptor binding relate to differences in central serotonergic turnover
91 rate and also investigate how this variation relates to differences in baseline
92 attention. While we were able to collect many sessions of data from each animal,

93 due to the invasive nature of CSF draws, we were limited to, at most, one sample of
94 CSF per animal per drug dose, and CSF was collected on different days than
95 behavioral measures. Future work replicating our findings with more animals could
96 further clarify individual differences in attention and central serotonergic
97 concentrations. Amongst our sample of 6 monkeys, there were no consistent
98 differences in age, dominance status, or health of any animals. Detecting any such
99 potential relationships would require a larger sample size.

100

101 **Supplementary Materials and Methods**

102 **Surgery**

103 Before testing began, subjects received a surgically implanted head-
104 restraining device to allow for accurate video tracking of eye movements. At the
105 time of surgery, anesthesia was induced with ketamine hydrochloride (10 mg/kg
106 i.m.) and maintained with isoflurane (1.0-3.0%, to effect). Subjects received isotonic
107 fluids via an intravenous drip. Aseptic procedures were employed. Heart rate,
108 respiration rate, blood pressure, expired CO₂, and body temperature were
109 monitored throughout the procedure. After the head restraining device
110 implantation was completed, the wound around the base was closed in anatomical
111 layers. Subjects received a peri- and post-operative treatment regimen consisting of
112 0.01 mg/kg buprenorphine every 12 hours for 3 days, 0.1 mg/kg meloxicam once
113 daily for 3 days, and 5 mg/kg baytril once daily for 10 days. Subjects were allowed
114 40+ days of recovery after the implant surgery before training began and were
115 slowly acclimated to head restraint over a week of training.

116

117 **CSF Analysis Protocols**

118 Samples with gross blood contamination (>0.1%), as indicated by pink
119 coloration, were excluded prior to screening for hemoglobin. Limiting blood
120 contamination to <0.1% was sufficient to ensure that analyses other than serotonin
121 were not affected by blood. However, all CSF samples analyzed for serotonin were
122 screened more rigorously for blood contamination by measuring hemoglobin using
123 Multistix 8 SG reagent strips for urinalysis (Bayer Corp., Elkhart, IN), which can
124 detect approximately 0.2 µg/ml of hemoglobin. As previously demonstrated,
125 screening for hemoglobin and using only those samples with <10 ppm blood limited
126 blood-derived serotonin in CSF to less than 10 pg/ml (Anderson *et al*, 2005).
127 Neurochemical analyses levels of CSF 5-HTP, serotonin, 5-HIAA, homovanillic acid
128 (HVA), tryptophan, tyrosine, and norepinephrine were determined using reverse-
129 phase high performance liquid chromatography (HPLC) as previously described
130 (Anderson *et al*, 2002; Anderson *et al*, 1987a; Anderson *et al*, 1990; Anderson *et al*,
131 1987b).

132 Samples with any detectable blood contamination were excluded from
133 serotonin and norepinephrine analysis – a total of 4 samples were excluded. The
134 final 5-HTP CSF data therefore included samples collected after injection of saline,
135 20mg/kg, and 40mg/kg 5-HTP from 4 subjects with an additional subject
136 contributing samples at saline and 40mg/kg and a final subject contributing
137 samples at 40mg/kg. The final serotonin CSF data set was more restricted and

138 includes saline data from 4 subjects, 20mg/kg 5-HTP data from 3 subjects, and
139 40mg/kg data from 4 subjects.

140

141 **Additional Information on Experimental Design**

142 The subjects sat in a primate chair (Precision Engineering Co.) 47 cm away
143 from the screen. MATLAB (Math Works) with Psychtoolbox (Brainard, 1997) and
144 Eyelinktoolbox (Pelli, 1997) was used to display stimuli and collect eye position
145 data. Horizontal and vertical eye positions were sampled at 1,000 Hz using an
146 infrared eye monitor camera system (SR Research Eyelink). Monkeys first acquired
147 and held a central fixation square for 300 ms to receive a 0.1 mL bridge juice reward.
148 After the bridge reward, either a social (a conspecific face) or a non-social stimulus
149 (scrambled face, or landscape scene) was displayed centrally for 5,000 ms. Subjects
150 received a larger, 0.3 mL, juice reward at stimulus offset regardless of the image
151 type or of how long the subjects looked at the stimuli (Fig. 1A). A solenoid valve
152 controlled the delivery of the fluid reward.

153 Valid trials were defined as those in which the monkeys successfully held
154 fixation for 300 ms during the pre-image fixation interval. If monkeys broke the
155 fixation, the trial was aborted, no image appeared, and the animal was not rewarded
156 and instead received a 1,500 ms timeout. We included all successful trials even if the
157 animals did not look at the image displayed after the initial fixation was completed.

158 Our main behavioral measure of interest was looking duration, expressed as
159 the total time the monkey spent looking at an image. Valid trials were defined as
160 those in which the monkeys successfully held fixation for 300 ms during the pre-

161 image fixation interval. If monkeys broke fixation, the trial was aborted, no image
162 appeared, and the animal was not rewarded and instead received a 1,500 ms
163 timeout. We included all successful trials even if the animals did not look at the
164 image displayed after the initial fixation was completed. We excluded trials from the
165 analysis that were more than two standard deviations away from the mean looking
166 duration within dose and image category. Using this criterion we excluded only 3%
167 of our trials.

168

169 **Data Analyses**

170 To directly examine whether 5-HTP modulated looking duration to images,
171 we calculated the total time animals spent looking at social and non-social images.
172 Data were averaged within sessions. Looking duration was assessed using a 3x2
173 ANOVA model specifying drug dose (saline, 20mg/kg 5-HTP, and 40mg/kg 5-HTP)
174 and image category (social versus non social) as fixed factors. To account for the fact
175 that 5-HTP *increased* looking duration in 3 animals, but *decreased* looking duration
176 in 3 animals (Figure 2A), we quantified the magnitude of the change in looking
177 duration due to 5-HTP. For each session we calculated the absolute value of the
178 percent change in looking duration from the average of all saline sessions. We ran a
179 2x3 ANOVA model specifying image category (social versus non social) and drug
180 dose (saline, 20mg/kg 5-HTP, and 40mg/kg 5-HTP) as fixed factors. All sessions of
181 the saline data were included in our model and normalized to the average of saline;
182 this conservative approach accounts for variability in looking duration during saline
183 sessions. Direct post hoc comparisons were made with two tailed independent *t*-

184 tests and *P*-values were corrected for multiple comparisons with a Tukey test.
185 Correlations were reported by calculating a Pearson's linear correlation coefficient.

186 We also asked if 5-HTP modulated looking duration differently depending on
187 stimulus monkeys' facial expressions and gaze directions by examining magnitude
188 change due to 5-HTP for each social image category. Session averaged data was
189 assessed using a 3x2x4 ANOVA model specifying drug dose (saline, 20mg/kg 5-HTP,
190 and 40mg/kg 5-HTP), stimulus face gaze direction (directed vs. averted), and
191 stimulus monkey expression (threat vs. fear grimace vs. lip smack vs. neutral). This
192 analysis allowed us to determine if 5-HTP differentially modulated looking duration
193 to images based on facial expression and gaze direction. Direct post hoc
194 comparisons were made with two tailed independent *t*-tests and the *P*-value was
195 corrected for multiple comparisons with a Tukey test.

196 To examine individual's attention to the eyes and mouth, we calculated the
197 percentage of trials that subjects looked within the eye or mouth regions during
198 each sliding window (non-overlapping 50 ms bins) throughout the image
199 presentation (5,000 ms) during saline sessions. To calculate the amount of time
200 subjects looked at the eye and mouth regions of social images, one researcher
201 custom created a rectangular region of interest for the eyes and mouth separately
202 on each image while another researcher confirmed all regions. The coordinates of
203 each region were maintained in a custom MATLAB script and used to determine
204 when subjects looked within these regions on each stimulus. This allowed us to
205 compensate for systemic size differences in the eye and mouth based on expression
206 and gaze direction without reducing the ecological validity afforded by using large

207 numbers of unedited images. The total time the subjects spent looking within the
208 boundaries of the image, eye, and mouth were calculated using a custom script
209 written in MATLAB. We included all successful trials (see above) but required at
210 least one fixation to the image. We averaged across the entire time window to
211 calculate the mean probabilistic looking to each the eyes and mouth. We then
212 quantified the absolute value of the percent change in probabilistic looking to the
213 eyes and mouth due to 5-HTP, for 20mg/kg and 40mg/kg, to account for 5-HTP's bi-
214 directional effects. This allowed us to examine differences in 5-HTP's effect on
215 probabilistic looking to the eyes and mouth due to stimulus monkey gaze direction
216 and facial expression. Data were averaged within sessions, and the magnitude
217 change in probabilistic looking were assessed using two separate 3x2x4 ANOVA
218 models, one for the eyes and one for the mouth, each specifying drug dose (saline,
219 20mg/kg, and 40mg/kg 5-HTP), stimulus face gaze direction (directed vs. averted),
220 and stimulus monkey expression (threat vs. fear grimace vs. lip smack vs. neutral).
221 Direct post hoc comparisons were made with two tailed independent *t*-tests and the
222 *P*-value was corrected for multiple comparisons with a Tukey test. The correlations
223 between probabilistic looking to the eyes or mouth at saline and percent change due
224 to 5-HTP were reported by calculating a Pearson's linear correlation coefficient.

225 To quantify anticipatory looking, we calculated the percentage of trials
226 during which subjects looked at the region of the screen where the new fixation
227 stimulus would later in time appear within each sliding window (non-overlapping
228 50 ms bins) throughout the inter-trial interval (ITI, 1500 ms). We averaged across
229 this time window to determine a mean probabilistic looking. Once again, we

230 accounted for 5-HTP's bi-directional effects by calculating the absolute value of the
231 percent change in anticipatory looking due to 5-HTP. Data were averaged within
232 sessions, and differences in the absolute value of the percent change in anticipatory
233 looking were assessed using a one way ANOVA model specifying drug dose (saline,
234 20mg/kg 5-HTP, and 40mg/kg 5-HTP). Direct post hoc comparisons were made
235 with two tailed independent *t*-tests and the *P*-value was corrected for multiple
236 comparisons with a Tukey test. The correlation between anticipatory looking at
237 saline and percent change in anticipatory looking due to 5-HTP was reported by
238 calculating a Pearson's linear correlation coefficient.

239 Changes in CSF concentrations and pupil size were assessed using repeated
240 measures ANOVA models specifying drug dose (saline, 20mg/kg 5-HTP, and
241 40mg/kg 5-HTP) as a fixed factor. For each of these analyses, we carried out direct
242 post hoc comparisons with two tailed independent *t*-tests and corrected the *P*-value
243 for multiple comparisons with a Tukey test.

244 Finally, correlations between percent change in looking duration from saline,
245 raw looking duration, and CSF concentrations were reported by calculating a
246 Pearson's linear correlation coefficient.

247

248

249

250 **Supplementary Figures and Legends**

251 **Figure S1: 5-HTP di-directionally modulates task engagement. A)** The
252 probability, expressed as the percentage of trials, that animals look at the region
253 near the fixation during the inter-trial-interval. Baseline anticipatory looking is
254 negatively correlated with the percent change in anticipatory looking due to
255 20mg/kg (green) and 40mg/kg (red) 5-HTP. Each shape represents an individual
256 subject's data. **B)** Average time plots for low and high baseline animals to illustrate
257 5-HTP's bi-directional effect on anticipatory looking. (green, 20 mg/kg; red, 40
258 mg/kg; blue, saline).

259

260 **Figure S2: Raw looking to conspecific faces, versus scrambled faces and**
261 **landscape images.** Looking duration from saline sessions is shown in blue,
262 20mg/kg 5-HTP sessions in green, and 40mg/kg 5-HTP sessions in red. Data is
263 plotted per subject. **A)** Low baseline looking animals. **B)** High baseline looking
264 animals. Soc. stands for social images, Scr. stands for luminance matched scrambled
265 images, Land. stands for landscape images. Each shape corresponds to the data of a
266 single subject.

267

268 **Figure S3: Percent change in looking duration to conspecific faces, versus**
269 **scrambled faces and landscape images due to 5-HTP.** Labeling conventions are
270 the same as in Figure S2.

271

272 **Figure S4: Raw looking duration to each social image category.** Looking
273 duration from saline sessions is shown in blue, 20mg/kg 5-HTP sessions in green,
274 and 40mg/kg 5-HTP sessions in red. Data is plotted per subject. **A)** Low baseline
275 looking animals. **B)** High baseline looking animals. T refers to faces exhibiting threat
276 expressions, S refers to faces exhibiting fear grimaces, L refers to faces exhibiting lip
277 smacks, and N refers to faces exhibiting neutral expressions. Each shape
278 corresponds to the data of a single subject.

279

280 **Figure S5: Percent change in looking duration to each social image category**
281 **due to 5-HTP.** Labeling conventions are the same as in Figure S4.

282

283 **Supplementary Tables**

284 **Supplemental Table 1:** Pair-wise correlation of CSF concentrations of monoamines,
285 their precursors, and metabolites, for all data points. Significant correlations are
286 bolded while trending to significant correlations are in italics.

287

288 **Supplemental Table 2:** Pair-wise correlation of CSF concentrations of monoamines,
289 their precursors, and metabolites, but only for data collected after i.m. 20 mg/kg 5-
290 HTP and 40 mg/kg 5-HTP. Same significance notations as Table 1.

291

292 **Supplemental Table 3:** Pair-wise correlation of CSF concentrations of monoamines,
293 their precursors, and metabolites, but only for data collected after i.m. saline. Same
294 significance notations as Table 1.

295

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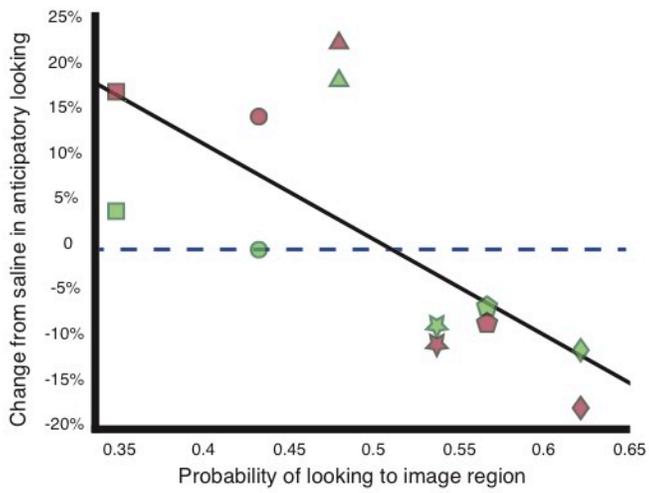
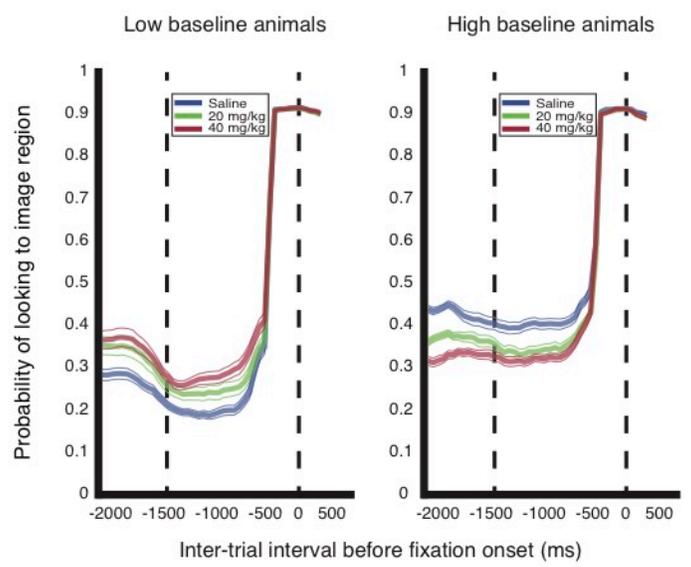
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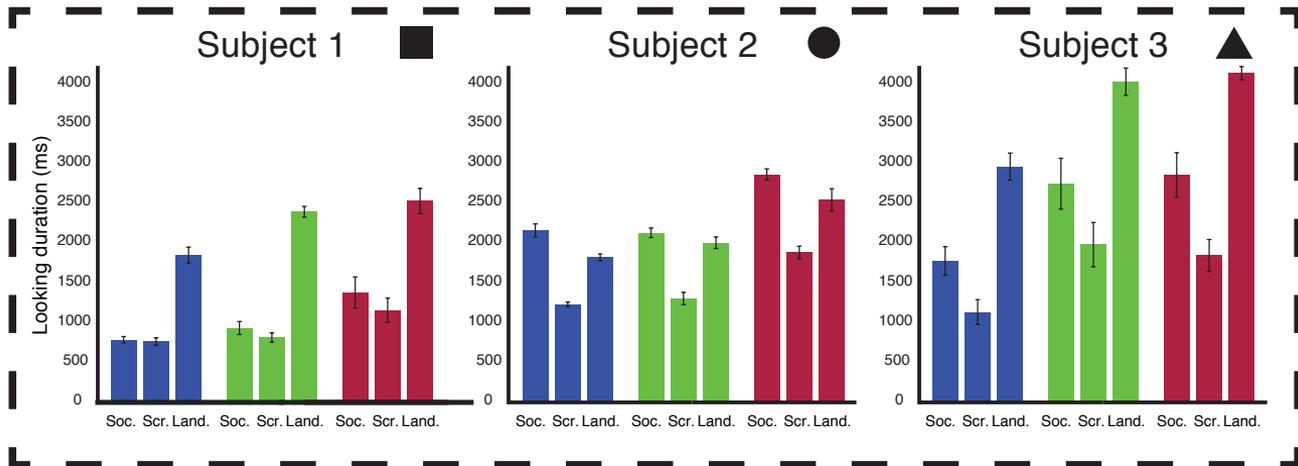
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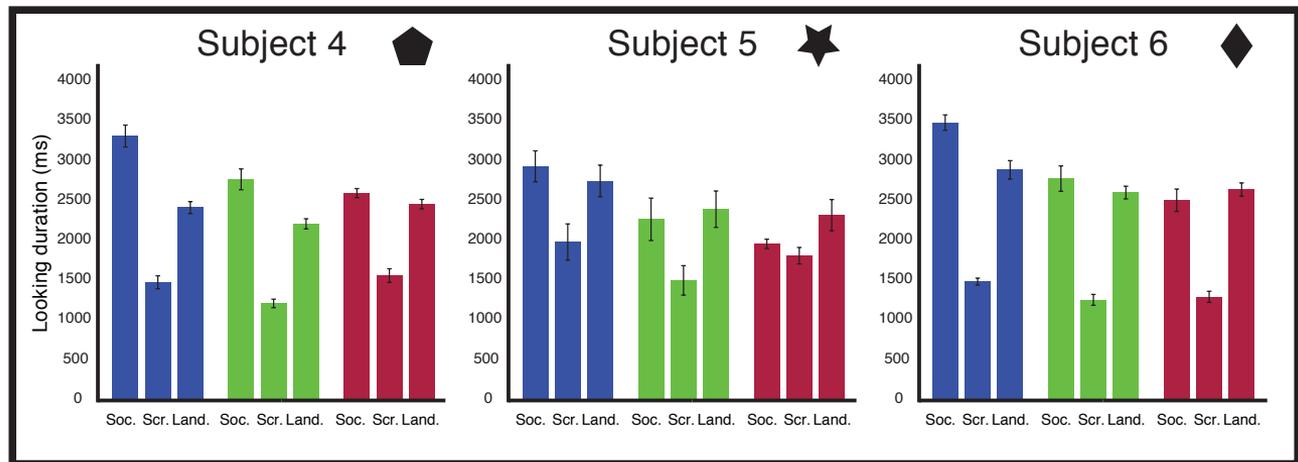
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a**b**

Low baseline attention animals increase looking after receiving 5-HTP

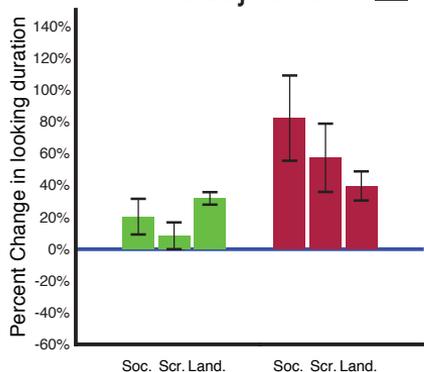


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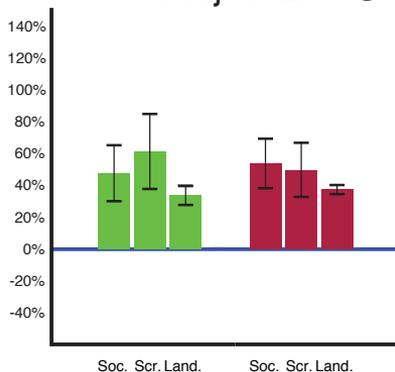


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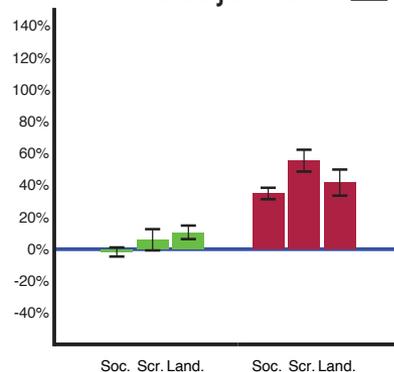
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Subject 2

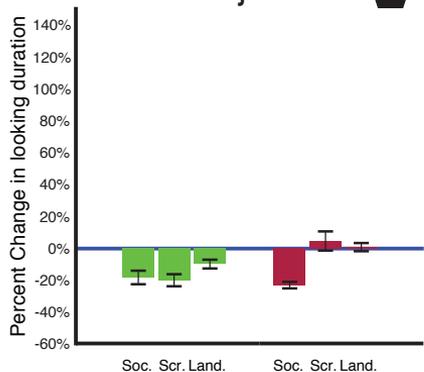


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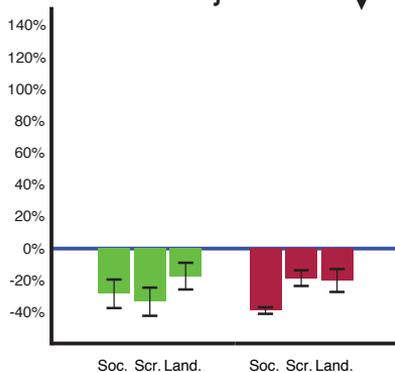


High baseline attention animals decrease looking after receiving 5-HTP

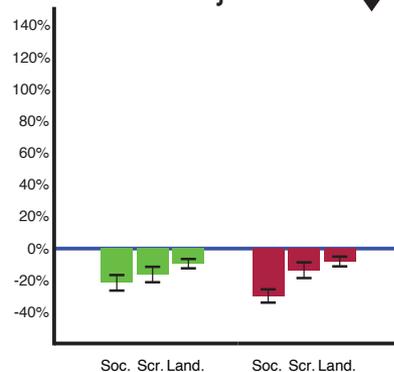
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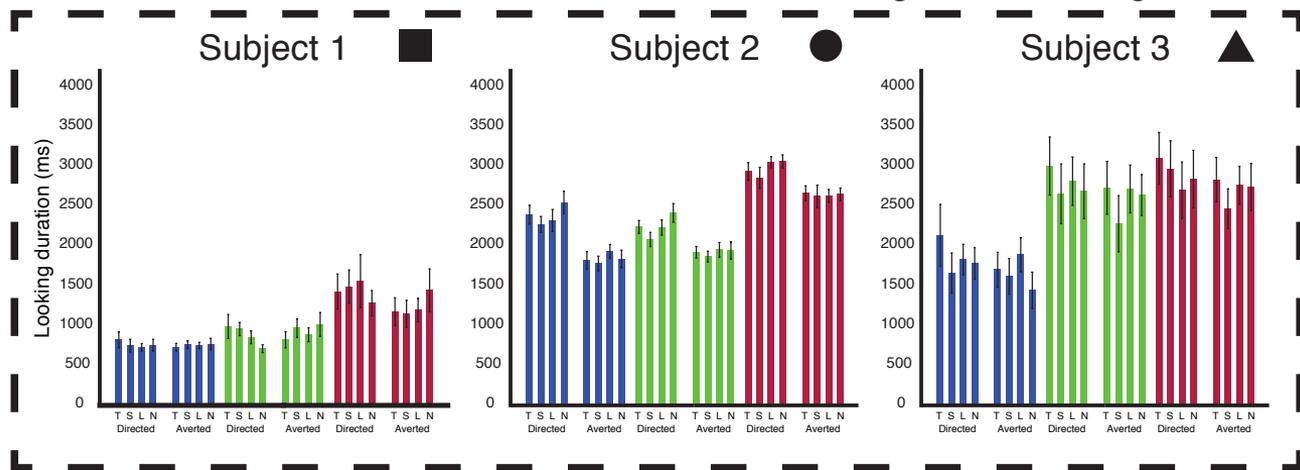
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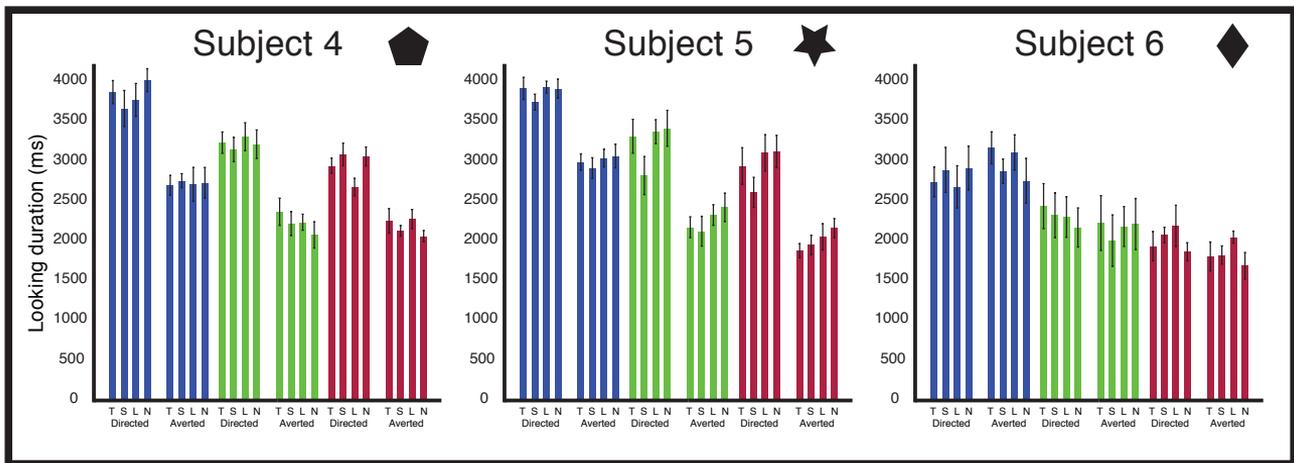
Subject 6



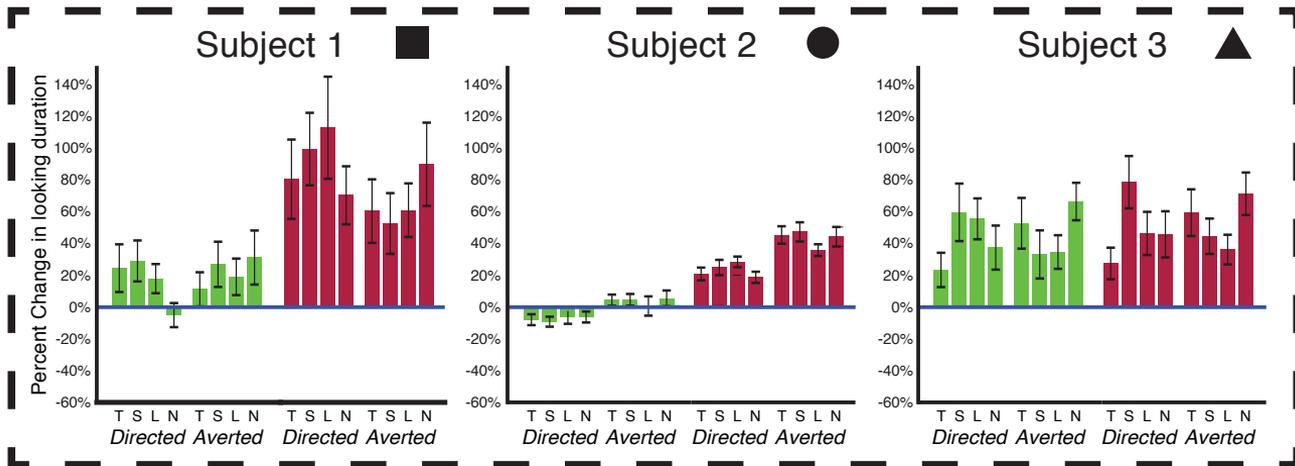
Low baseline attention animals increase social looking after receiving 5-HTP



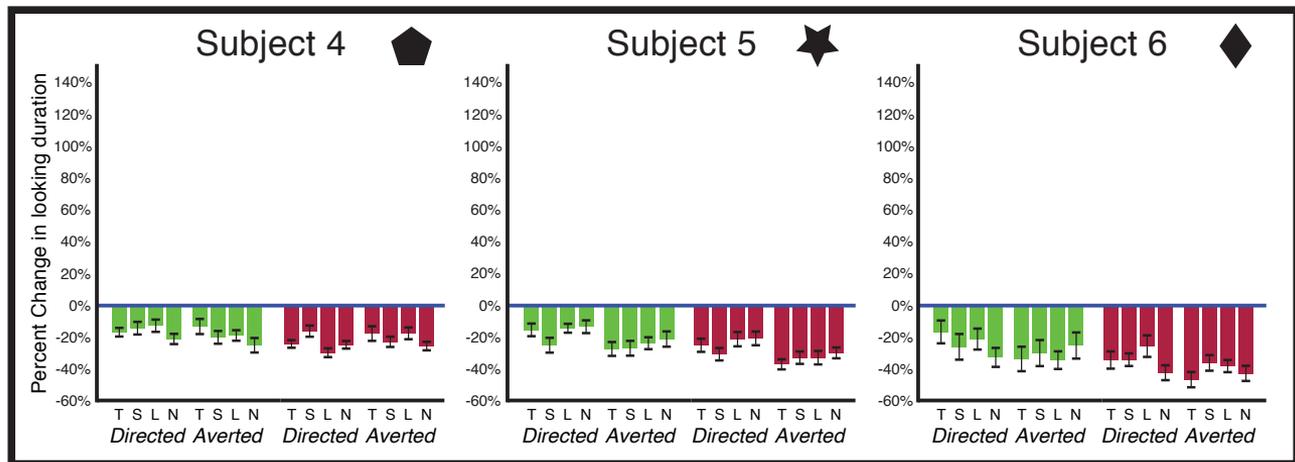
High baseline attention animals decrease social looking after receiving 5-HTP



Low baseline attention animals increase social looking after receiving 5-HTP



High baseline attention animals decrease social looking after receiving 5-HTP



Pair-wise correlation of CSF concentrations for all data points

	HVA	5-HIAA	5-HT	5-HTP	TRP	TYR	NE
HVA		r= 0.72 P< 0.01	r= -0.34 P= 0.31	r= 0.04 P= 0.90	r= 0.69 P< 0.01	r= 0.7 P= 0.80	r= 0.14 P= 0.68
5-HIAA			r= 0.24 P= 0.48	r= 0.55 P= 0.03	r= 0.63 P= 0.01	r= -0.23 P= 0.41	r= 0.26 P= 0.44
5-HT				r= 0.78 P< 0.01	r= -0.27 P= 0.43	r= -0.64 P= 0.03	r= 0.12 P= 0.73
5-HTP					r= -0.06 P= 0.83	r= -0.37 P= 0.17	r= 0.01 P= 0.98
TRP						r= -0.16 P= 0.58	r= 0.43 P= 0.18
TYR							r= -0.11 P= 0.75
NE							

Pair-wise correlation of CSF concentrations for data collected after i.m. 5-HTP

	HVA	5-HIAA	5-HT	5-HTP	TRP	TYR	NE
HVA		r= 0.75 P= 0.01	r= -0.08 P= 0.86	r= 0.59 P= 0.07	r= 0.50 P= 0.14	r= -0.15 P= 0.68	r= -0.62 P= 0.14
5-HIAA			r= 0.29 P= 0.53	r= 0.93 P< 0.01	r= 0.67 P= 0.03	r= -0.40 P= 0.25	r= -0.24 P= 0.60
5-HT				r= 0.41 P= 0.36	r= 0.09 P= 0.85	r= -0.41 P= 0.35	r= 0.03 P= 0.95
5-HTP					r= 0.63 P= 0.05	r= -0.49 P= 0.15	r= 0.01 P= 0.99
TRP						r= -0.60 P= 0.06	r= -0.06 P= 0.89
TYR							r= -0.46 P= 0.30
NE							

Pair-wise correlation of CSF concentrations for data collected after i.m. saline

	HVA	5-HIAA	5-HT	5-HTP	TRP	TYR	NE
HVA		r= 0.92 P= 0.03	r= 0.99 P< 0.01	<i>r= 0.85</i> <i>P= 0.07</i>	r= 0.99 P< 0.01	r= 0.55 P= 0.30	r= 0.96 P= 0.04
5-HIAA			r= 0.83 P= 0.17	r= 0.66 P= 0.22	r= 0.92 P= 0.03	r= 0.59 P= 0.30	r= 0.96 P= 0.04
5-HT				r= 0.87 P= 0.13	r= 0.97 P= 0.03	r= 0.23 P= 0.77	<i>r= 0.91</i> <i>P= 0.09</i>
5-HTP					<i>r= 0.87</i> <i>P= 0.05</i>	r= 0.75 P= 0.14	r= 0.73 P= 0.27
TRP						r= 0.61 P= 0.27	r= 0.98 P= 0.02
TYR							r= 0.56 P= 0.44
NE							